

# FORM LM-30

## LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

|  |  |
|--|--|
| 1. File Number U - <b>25365</b>  | 2. Fiscal Year Covered From:<br><b>1</b> / <b>1</b> / <b>2005</b> Through: <b>12</b> / <b>31</b> / <b>2005</b>   |
| 3. Name and address of person filing.<br>Name <b>SUSAN</b> <b>D</b> <b>CARLSON</b><br><br>P.O. Box, Bldg., Room No., if any<br><br>Street <b>720 MESA PINES WAY</b><br><br>City <b>ARROYO GRANDE</b><br><br>State <b>California</b> ZIP Code + 4 <b>93420-5826</b> | 4. Name, file number, and address of labor organization.<br>Name <b>TEAMSTERS UNION LOCAL 381</b><br><br>Labor Organization File Number <b>033-659</b><br><br>P.O. Box, Building and Room Number, if any<br><br>Street <b>115 W BUNNY AVE</b><br><br>City <b>SANTA MARIA</b><br><br>State <b>California</b> ZIP Code + 4 <b>93458-2805</b> |
| 5. Position in labor organization. <b>OFFICE MANAGER</b>   |  |

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.

|   |  |
|---|--|
| 6. Name and address of Employer (including trade name, if any).<br>Name<br><br>Trade Name, if any:<br><br>P.O. Box, Bldg., Room No., if any<br><br>Street<br><br>City<br><br>State ZIP Code + 4 | 7.a. Nature of Interest, Transaction, or Income.<br><br><br><br><br><br><br><br><br><br>7.b. Amount.<br><br><br><br><br><br><br><br><br><br> |
|---|--|

### Signature

**15. Signature and verification.** The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed *Susan D Carlson*

On **3/31/2006**

Date

**805-922-7875**

Telephone Number

Name of Person Filing SUSAN CARLSON

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W BUNNY AVE

City SANTA MARIA

State California ZIP Code + 4 93458-2805

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

UNION PLAZA CORP IS OPERATED BY TEAMSTERS UNION  
LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$1,953

12.a. Nature of interest held or income received.

|             |         |
|-------------|---------|
| BONUS       | \$1,018 |
| MEALS       | \$606   |
| HOTEL       | \$229   |
| SPOUSE MEAL | \$100   |

12.b. Amount.

\$1,953

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

**Attachment to 2005 LM-30 Report of Lynn E. Swenson,  
Susan Carlson, James Bartlett and Arthur Silvas.**

In the 1970's the Leadership of Local 381 felt that there was a need for a low income residence in the Santa Maria area. They approached the Federal Government and negotiated a financial arrangement. It was part Urban Renewal and part Housing and Urban Development (HUD). In 1975 a HUD loan was signed and the project began. Teamsters Union Local 381 became the overseer of the Union Plaza Apartment Complex through the Union Plaza Corporation board of directors. The apartment complex is self managed by the Union Plaza Corporation.

The Union Plaza Corporation Bylaw's require that the Board of Directors be members of the Local Union (three union members on the board). The Corporation Bylaw's allow the Principle Officer of the Union to be the Principal Officer of the Union Plaza Corporation. The Local Union is financially responsible for the 3 million dollar loan that financed the project in 1975. The Union Plaza Apartment complex pays the mortgage through a HUD loan, but if they should ever default, the Local Union becomes responsible. The Bylaws require that the money of the Local Union be separate from the money of the Union Plaza Corporation. Both of these organizations have separate operations and bank accounts from the Union Plaza Apartments. All of these organizations are a "not-for-profit" organization. The Union Plaza Corporation manages the Apartment Complex for the Local Union. The employees of the Apartment Complex are covered under a Collective Bargaining Agreement between the Local Union and the Union Plaza Corporation.